



600-1-081 CONCIP 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DANIEL HAWIGER, ET AL.  
SERIAL NO.: 10/800,023 EXAMINER: UNASSIGNED  
FILED : MARCH 12, 2004 ART UNIT: 1644  
FOR: ENHANCED ANTIGEN DELIVERY AND MODULATION  
OF THE IMMUNE RESPONSE THEREFROM

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2004.

Loretta H. Kavanagh  
(Name of Depositor)

Loretta H. Kavanagh 8/20/04  
(Signature and Date)

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show the corrections that are needed which are as follows:

Applicants are:

Daniel Hawiger, **Branford, CT.**;  
Michel Nussenzweig, New York, NY;  
Ralph M. Steinman, Westport, CT.;  
**Laura Bonifaz, Del Alvaro Obregon, Mexico**

as noted in the enclosed copy of the executed Declaration and Power of Attorney forms filed in response to Missing Parts on June 29, 2004.

Domestic Priority data as claimed by Applicants:

This application is a CIP of 09/925,284 08/09/2001  
which is a CIP of 09/586,704 06/05/2000 **which is a continuation of**  
**PCT/US96/01383 filed 1/31/96 and which is a continuation of**  
**U.S. Serial No. 08/381,528 filed 1/31/1995, now abandoned**

as stated in a Preliminary Amendment, a copy of which is attached herewith, submitted on August 20, 2004 to better clarify the relationship of the present application to the previously filed applications, and to which the present application claims priority.

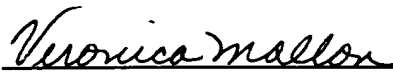
Filing Date:

A petition has been submitted to correct the filing date of the present application, with evidence in support of same, a copy of which is also attached. Accordingly, please change the Filing Date from "3/14/2004" to read

"3/12/04"

as it appears on the stamp of the Express Mail slip and the Utility Patent Application Transmittal filed on March 12, 2004. A copy of said Express Mail slip and Utility Patent Application Transmittal are also enclosed.

Issuance of a corrected Official Filing Receipt is respectfully requested.

  
Veronica Mallon, Ph.D.  
Agent for Applicants  
Reg. No. 52,491

KLAUBER & JACKSON  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/800,023	03/14/2004 03/12/2004	1644	0.00	600-1-081CONCIP1	13	54	11

CONFIRMATION NO. 9210

23565  
 KLAUBER & JACKSON  
 411 HACKENSACK AVENUE  
 HACKENSACK, NJ 07601

## FILING RECEIPT



\*OC000000012501519\*

MAY 03 2004

KLAUBER &amp; JACKSON

Date Mailed: 04/30/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Daniel Hawiger, ~~New York, NY~~ BRANFORD, CT.  
 Michel Nussenzweig, New York, NY;  
 Ralph M. Steinman, Westport, CT;  
 LAURA BONIFAZ, DEL ALVARO OBREGON, MEXICO

## Domestic Priority data as claimed by applicant

This application is a CIP of 09/925,284 08/09/2001  
 which is a CIP of 09/586,704 06/05/2000 WHICH IS A CONTINUATION OF  
 PCT/US96/01383 FILED 1/31/96 AND WHICH IS A CONTINUATION

Foreign Applications OF U.S.S.N. 08/381,528 FILED 1/31/95, NOW ABANDONED.

If Required, Foreign Filing License Granted: 04/29/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Enhanced antigen delivery and modulation of the immune response therefrom

**Preliminary Class**

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

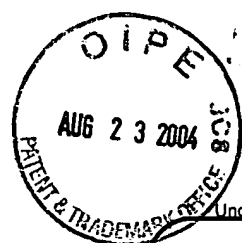
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IFW

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/800,023
	Filing Date	March 12, 2004
	First Named Inventor	Daniel Hawiger, et al.
	Art Unit	1644
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	600-1-081CONCIP1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Req. Corrected Off. Filing Recpt; Declaration (Bonifaz); Declaration (Nussenzweig, Hawiger); Declaration
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	(Steinman); Petition to the Commiss. to Correct Incorrectly entered date-in for application; Preliminary Amendment; Filing Receipt with corrections.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Veronica Mallon, Ph.D.
Signature	<i>Veronica Mallon</i>
Date	August 20, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Loretta A. Kavanagh		
Signature	<i>Loretta A. Kavanagh</i>	Date	August 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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